2-4 York Buildings
Cornhill
Bridgwater
Somerset
TA6 3BS

Tel: 01278 439494
Fax: 01278 439495
Email: office@nexusifa.co.uk
Web: www.nexusifa.co.uk

ONGOING SERVICE CLIENT AGREEMENT

This Ongoing Service Client Agreement is signed this day ______ of _________________________ 20____ between:

First party: Nexus IFA Ltd ____ (the firm)

Second party: ____ (the client/s)

1. Introduction

1.1 This agreement sets out the general conditions under which the firm's services are to be provided to the client, including details of the specific services required and the firm's charges for these services. This agreement must be read in conjunction with the firm's Terms and Conditions, About Our Services and Fees, and Client Agreement documents.

2. Obligations

2.1 The client agrees to provide the firm with full and frank details about their personal, financial and other circumstances including knowledge and experience, risk appetite, ability to tolerate losses, capacity to accept losses and the client's aims and objectives in respect of any financial needs. The client has the legal capacity to enter into this agreement.

2.2 The firm confirms that it has the necessary delegated regulatory permissions to provide advice and services in those areas as disclosed in the firm's About Our Services and Fees document.

2.3 The client has agreed to appoint the firm as their agent and accepts the firm's Terms & Conditions and Fees.

2.4 The firm has agreed to act as the agent for the client in relation to the services recorded at clause 3 below.

2.5 Unless otherwise confirmed in writing, the firm agrees to treat the client as a Retail Client.

3. Service

3.1 The firm agrees to provide the client with an ongoing service in relation to financial instruments held by the client as listed below:

Provider	Product	Policy Number

3.2 All ongoing services include the monitoring of the client's financial instruments to assess that all of these financial instruments continues to be suitable to meet the client's aims and objectives.

4. Payment

4.1 The client agrees to the firm collecting payment as an agent of In Partnership in respect of the firm's Ongoing Service by:

1. Direct Payment from your bank account as an annual or monthly payment
2. Cheque paid annually to Nexus IFA Ltd
3. A deduction from your investments/pension

PLEASE TICK:

Payment Option: 1 ____ 2 ____ 3 ____ for **Ongoing Service Level*:** FA..____ FP..____ WM..____ B.. ____

***Ongoing service levels:** FA = Financial Advice FP = Financial Planning WM = Wealth Management B = Bespoke

Amount £__________________ OR ____________________% annually of the value of the client's financial instruments/portfolio.

(e.g. for investable assets of £20,000 our annual fee would be £__________________)

5. Cessation of the agreement

5.1 The client agrees to settle in full any outstanding payments due to the firm at the time of the termination of this agreement. The firm agrees to provide the client with full details of all incomplete transaction at the time of the termination of the agreement, to assist the client in achieving completion of these.

6. Declaration

6.1 This document is our standard Ongoing Client Agreement upon which we intend to rely. For your own benefit and protection you should read this agreement carefully. If you do not understand any point please ask for further information and explanation before signing this agreement.

Signature for Firm: ……………………………………………………………… Name: ……………………………………………………………………………

Signature for Client : ……………………………………………………………. Name: ……………………………………………………………………………

Signature for Client: ……………………………………………………………. Name: ……………………………………………………………………………

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OUR ONGOING SERVICE LEVELS

The level of ongoing service that you wish to be provided with will be from one of the following options:

FINANCIAL ADVICE SERVICE

This is an ongoing review service suitable for customers who have an uncomplicated investment or pension portfolio or regular premium products such as savings and or pensions and are seeking our help to review and manage these. The aim of this level of ongoing service is to help the customer establish an achievable growth in wealth. The normal value of the whole portfolio would be between £30,000 and £100,000. This service is also intended to help the customer achieve a sound understanding of the financial markets.

FINANCIAL PLANNING SERVICE

This is an ongoing review service suitable for customers who have an established investment or pension portfolio or have a range of assets forming the basis of their wealth and upon which they are seeking to review and manage with our help. The aim of this level of ongoing service is to help customer maintain and grow the value of their wealth or to ensure that investment income levels are maintained. The normal value of the whole portfolio would be between £100,000 and £200,000. This level of service is also intended to help the customer increase their knowledge and experience in the financial markets.

WEALTH MANAGEMENT SERVICE

This is an ongoing review service suitable for customers who are both knowledgeable and experienced investors and who have already established an investment or pension portfolio in excess of £200,000. The aim of this level of service is to provide a wealth preservation strategy whilst assisting the customer in taking advantage of alternative and non-mainstream investments that offer the opportunity for creating a sustainable growth in the customer’s wealth.

BESPOKE SERVICE

This is an ongoing review service suitable for customers who believe our other service levels will not meet their specific requirements. Services are agreed and provided by negotiation.

ONGOING SERVICE OPTIONS
Regular emailing of newsletters and investment articles
Forwarding of provider correspondence
Provider investment reports and valuations
Dealing with Providers & Institutions in relation to policies & investment
Online access to investment portfolio*
Annual financial review - ensuring recommended products continue to meet your requirements & needs
Attitude to Risk assessment annually/on request
Fund switching opportunity at annual review /on request
Portfolio rebalancing opportunity at annual review/on request
Liaison with other professionals (accountant/solicitor)
Email & telephone access to Adviser & financial planning team
Ongoing retention & maintenance of your client file
* Dependent on investment platform